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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A01071  
MMB/kr

PATENT  
**RECEIVED**  
FEB 21 2003  
TC 1700

In re application of: :  
Jane Elizabeth Weier, et al. :  
: :  
Serial No.: 09/944,290 :  
Confirmation No.: 1885 :  
: :  
Filed: August 31, 2001 :  
: :  
For: High Solids Polymeric Additive :  
Systems: Compositions, :  
Processes, and Products Thereof: :  
X

Group Art: 1714

Examiner: Tae H. Yoon

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

U.S. Patents

3,864,432*	4,440,905	5,534,594*
3,793,402*	4,469,825**	5,599,854*
3,971,835*	4,539,361**	5,726,259**
4,040,996	4,791,151	
4,385,152	5,276,092	

### Foreign References

Australian AU-A-48232-96  
European EP 0 025 561\*\*  
European EP 0 343 833  
European EP 0 439 427  
European EP 0 536 935  
European EP 0 810 241\*\*  
European EP 0 814 103\*\*  
European EP 0 826 702\*\*  
European EP 0 942 037  
European EP 1 033 390\*\*  
French FR 2 309 569\*\*  
German DE 197 26 802  
German DE 197 41 778  
International WO 94/06876  
International WO 01/48026\*

The above-listed patents and patent references that are designated with an asterisk (\*) are discussed in the specification of the present application. The above-listed patents and patent references that are designated with a double asterisk (\*\*) have been cited in a European Search Report issued in connection with applicants' corresponding European Application No. 01307450.5, filed August 31, 2001. A copy of the aforesaid European Search Report is enclosed herewith and provides an indication of the relevance of the patents and patent documents cited therein.

Applicants also take this opportunity to notify the Examiner of the existence of the following two co-pending, commonly assigned U.S. patent applications, which may be considered relevant to the present invention and copies of which are submitted herewith for the Examiner's convenience and consideration:

- (1) U.S. Serial No. 09/943,690  
Entitled: Multiple Polymeric Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01072)
- (2) U.S. Serial No. 09/944,289  
Entitled: Functional Liquid-Solid Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01073)

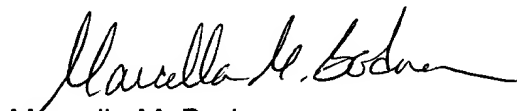
It is noted that the remaining above-listed patents and patent references (i.e., which are not asterisked) are being cited, concurrently herewith, in connection with one or both of the above-listed two co-pending commonly assigned U.S. patent applications.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

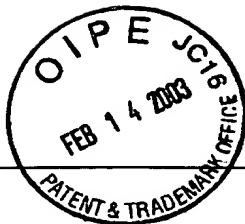
No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

Respectfully Submitted,

Date: Feb. 14, 2003

  
Marcella M. Bodner  
Attorney for Applicants  
Reg. No. 46,561  
Telephone (215) 592-3025

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, Pa. 19106-2399

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Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

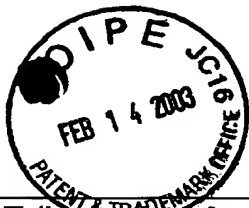
Atty Docket No. <b>A01071</b>	Serial No. <b>09/944,290</b>
Applicant <b>Jane Elizabeth Weier et al.</b>	
Filing Date <b>August 31, 2001</b>	Group <b>1714</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	3,864,432	2/04/1975	Adler et al.	260/897B	11/22/1972
	AB	3,793,402	2/19/1974	Owens	260/876R	11/05/1971
	AC	3,971,835	7/27/1976	Myers et al.	260/876R	8/05/1974
	AD	4,469,825	9/04/1984	Kowalski et al.	523/201	3/09/1983
	AE	4,539,361	9/03/1985	Siol et al.	524/458	3/04/1985
	AF	5,534,594	7/09/1996	Troy et al.	525/310	12/05/1994
	AG	5,599,854	2/04/1997	Troy et al.	523/201	11/16/1995
	AH	5,726,259	3/10/1998	Hayes et al.	526/80	7/12/1996
	AI	4,440,905	4/03/1984	Dunkelberger	525/66	8/13/1981
	AJ	5,276,092	1/04/1994	Kempner et al.	525/84	3/25/1993
	AK	4,040,996	8/09/1977	Van Vonno	260/23 XA	3/09/1976
	AL	4,385,152	5/24/1983	Boyack et al.	524/460	10/13/1981
	AM	4,791,151	12/13/1988	Kowalski et al.	523/201	7/14/1986

**FOREIGN PATENT DOCUMENTS**

	AN	EP 0 025 561	25.03.1981	Europe	C08F 259/04	No
	AO	EP 0 810 241	03.12.1997	Europe	C08F 259/04	No
	AP	EP 0 814 103	29.12.1997	Europe	C08F 291/00	No
	AQ	EP 0 826 702	04.03.1998	Europe	C08F 14/06	No
	AR	EP 1 033 390	06.09.2000	Europe	C08L 101/00	Yes
	AS	FR 2 309 569	26.11.1976	France	C08F 14/06	No
	AT	WO 01/48026	05.07.2001	PCT	C08F 2/16	No
	AS	DE 197 41 778	26.03.1998	Germany	C08L 27/06	No
	AV	EP 0 536 935	30.09.1992	Europe	C08F 285/00	Yes
	AW	EP 0 439 427	15.01.1991	Europe	C08K 5/00	No
	AX	EP 0 942 037	05.03.1999	Europe	C08K 5/00	No
	AY	DE 197 26 802	24.06.1997	Germany	C08L 27/18	No
	AZ	EP 0 343 833	16.05.1989	Europe	C08F 291/00	Yes
	BA	WO 94/06876	31.03.1994	PCT	C09D 163/00	Yes
	BB	AU-A-48232-96	21.03.1996	Australia	C07D 487-04	Yes



OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

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	BC	
	BD	
Examiner		Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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